



PATENT
31408-10229

#41/ Cernit
3-31-04
[Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
PAUL H. STALLINGS ET AL.

Serial No.: 09/472,263

Filed: December 27, 1999

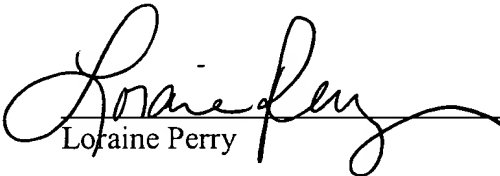
TITLE: METHOD FOR TRANSFORMING CAD
MODEL USING GENERAL FUNCTION
COMPOSITION MECHANISM

)
)
)
) Examiner: Herng Der Day

)
) Art Unit: 2123

RECEIVED
MAR 25 2004
Technology Center 2100

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313 this 19th day of March, 2004.


Loraine Perry

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

REQUEST TO CORRECT INVENTORSHIP IN PATENT APPLICATION
UNDER 37 CFR § 1.48(a)

This is a Request to Correct an inventorship error in the above-identified patent application. It is respectfully requested that James Michael Gordon be added to this pending application as an additional inventor.

Enclosed are the following items:

03/23/2004 AMABT1 00000138 100460 09472263
01 FC:1460 130.00 DA

1. A statement from the person who is being added as an inventor that the error in inventorship occurred without any deceptive intention on his part.
2. A declaration signed by each of the actual inventors.
3. The written consent of each of the assignees.
4. The processing fee of \$130.00.

The Commissioner is hereby authorized to charge the \$130 and any other fees associated with the filing of this request to Deposit Account No. 10-0460.

Respectfully submitted,



Eric P. McAlpine, Reg. No. 46,908

Dated: March 19, 2004

JENNER & BLOCK LLP
One IBM Plaza
Chicago, IL 60611
Ph: (312) 222-9350



PATENT
31408-10229

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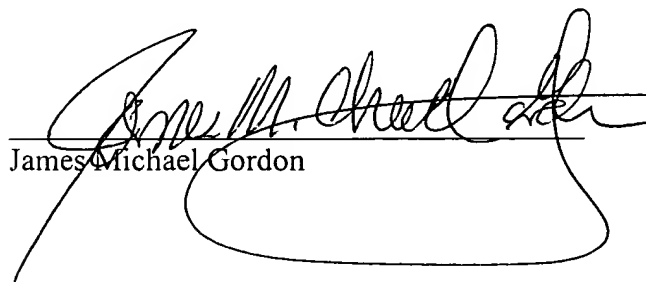
Technology Center 2100

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

STATEMENT OF PERSONS BEING ADDED AS INVENTOR
PETITION TO CORRECT INVENTORSHIP OF PATENT
UNDER 37 CFR § 1.324

I, James Michael Gordon, hereby state that the inventorship error relating to U.S. Patent

Application No. 09/472,263 occurred without any deceptive intention on my part.


James Michael Gordon

Date

3/15/2004

Practitioner's Docket No. 31408-10229

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Paul H. Stallings et al.

Application No.: 09/472,263 Group No.: 2123

Filed: December 27, 1999 Examiner: Herng Der Day

For: METHOD FOR TRANSFORMING CAD MODEL USING GENERAL FUNCTION COMPOSITION MECHANISM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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MAR 25 2004

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**ASSENT OF ASSIGNEE TO CORRECTION
AND/OR ADDITION OF INVENTOR(S)**

Spatial Corp.

(type or print name of assignee)

10955 Westmoor Drive, Suite 425, Westminister, CO 80021

Address

Assignment

☒ recorded on March 6, 2003

Reel 013804

Frame 0593

☐ recorded herewith

☐ A separate ☐ "ASSIGNMENT" (DOCUMENT) COVER SHEET is attached.

or

☐ FORM PTO 1595 is attached.

Assignee hereby assents to the correction of inventorship filed

☒ herewith.

☐ on _____

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 3/19/04

Loraine Perry
Signature
Loraine Perry
(type or print name of person certifying)

ASSIGNEE STATEMENT

A "STATEMENT UNDER 37 C.F.R. § 3.73(b)" is attached.



Signature

LINDA LOKAY, EVP MARKETING & PRODUCT DEVELOPMENT
(type or print name and title of person authorized to sign
on behalf of assignee)

MAR 22 2004

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Paul H. Stallings et al.Application No./Patent No.: 09/472,263 Filed/Issue Date: December 27, 1999Entitled: METHOD FOR TRANSFORMING CAD MODEL USING GENERAL FUNCTION COMPOSITION MECHANISMSpatial Corp., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Stallings, Paul Robert To: Spatial Technology Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 011021, Frame 0345, or for which a copy thereof is attached.
2. From: Huang, Yunching To: Spatial Technology, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 011021, Frame 0389, or for which a copy thereof is attached.
3. From: Stander, Barton Talbot To: Spatial Technology, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 011021, Frame 0355, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

9 MARCH 2004

Date

303-544-2900

Telephone number

LINDA LOKAY

Typed or printed name



Signature

ELIP. MARKETING + PRODUCT DEVELOPMENT

Title

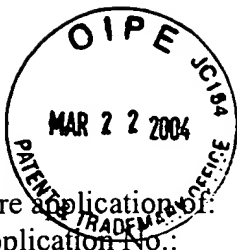
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MAR 25 2004

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This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



In re application of:
Application No.:
Filed:
For:

Paul H. Stallings et al.
09/472,263
December 27, 1999

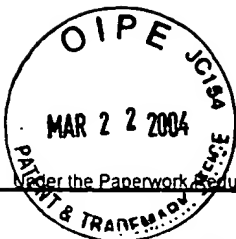
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Technology Center 2100

STATEMENT UNDER 37 CFR 3.73(b) (Continued)

B. A chain of title from inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

4. From Spatial Technology, Inc. To: Spatial Components, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 012043, Frame 0162, or for which a copy thereof is attached.
5. From: Spatial Components, LLC To: Spatial Corp.
The document was recorded in the United States Patent and Trademark Office at Reel 013804, Frame 0593, or for which a copy thereof is attached.



PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/472,263
Filing Date	December 27, 1999
First Named Inventor	Paul H. Stallings et al.
Art Unit	2123
Examiner Name	Herng Der Day
Attorney Docket Number	31408-10229

RECEIVED**MAR 25 2004**

Total Number of Pages in This Submission

15**Technology Center 2100****ENCLOSURES (Check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): See Remarks
Remarks The following items are enclosed: (1) Request to Correct Inventorship in Patent Application under 37 CFR 1.48(a); (2) Statement of Persons Being Added as Inventor; (3) Assent of Assignee to Correction and/or Addition of Inventors with Statement Under 37 CFR 3.73(b); (4) A declaration signed by each of the actual inventors.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric P. McAlpine, Reg. No. 46,908, Jenner & Block LLP, One IBM Plaza, Chicago, IL 60611
Signature	<i>E. P. McAlpine</i>
Date	March 19, 2004

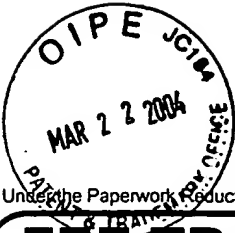
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Loraine Perry
Signature	<i>Loraine Perry</i>
Date	March 19, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$130.00

Complete if Known

Application Number 09/472,263
Filing Date December 27, 1999
First Named Inventor Paul H. Stallings et al.
Examiner Name Herng Der Day
Art Unit 2123
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METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																																																																																																			
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<input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 10-0460 Deposit Account Name Jenner & Block				<table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td></td><td></td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td></td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td></td><td></td><td>Non - English specification</td><td></td></tr><tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td></td><td></td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td></td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td></td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td></td><td></td><td>Extension for reply within first month</td><td></td></tr><tr><td>1252</td><td>420</td><td>2252</td><td>210</td><td></td><td></td><td>Extension for reply within second month</td><td></td></tr><tr><td>1253</td><td>950</td><td>2253</td><td>475</td><td></td><td></td><td>Extension for reply within third month</td><td></td></tr><tr><td>1254</td><td>1,480</td><td>2254</td><td>740</td><td></td><td></td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>1255</td><td>2,010</td><td>2255</td><td>1,005</td><td></td><td></td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>1401</td><td>330</td><td>2401</td><td>165</td><td></td><td></td><td>Notice of Appeal</td><td></td></tr><tr><td>1402</td><td>330</td><td>2402</td><td>165</td><td></td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>1403</td><td>290</td><td>2403</td><td>145</td><td></td><td></td><td>Request for oral hearing</td><td></td></tr><tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td></td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td></td><td></td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>1453</td><td>1,330</td><td>2453</td><td>665</td><td></td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>1501</td><td>1,330</td><td>2501</td><td>665</td><td></td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>1502</td><td>480</td><td>2502</td><td>240</td><td></td><td></td><td>Design issue fee</td><td></td></tr><tr><td>1503</td><td>640</td><td>2503</td><td>320</td><td></td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td></td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td></td><td></td><td>Processing fee under 37 CFR § 1.17(q)</td><td></td></tr><tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td></td><td></td><td>Submission of Information Disclosure Statement</td><td></td></tr><tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td></td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>1809</td><td>770</td><td>2809</td><td>385</td><td></td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>1810</td><td>770</td><td>2810</td><td>385</td><td></td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>1801</td><td>770</td><td>2801</td><td>385</td><td></td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td></td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="4">Other fee (specify) _____</td><td colspan="4">SUBTOTAL (3) (\$) \$130.00</td></tr></tbody></table>				Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	1051	130	2051	65			Surcharge - late filing fee or oath	130.00	1052	50	2052	25			Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130			Non - English specification		1812	2,520	1812	2,520			For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*			Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*			Requesting publication of SIR after Examiner action		1251	110	2251	55			Extension for reply within first month		1252	420	2252	210			Extension for reply within second month		1253	950	2253	475			Extension for reply within third month		1254	1,480	2254	740			Extension for reply within fourth month		1255	2,010	2255	1,005			Extension for reply within fifth month		1401	330	2401	165			Notice of Appeal		1402	330	2402	165			Filing a brief in support of an appeal		1403	290	2403	145			Request for oral hearing		1451	1,510	1451	1,510			Petition to institute a public use proceeding		1452	110	2452	55			Petition to revive - unavoidable		1453	1,330	2453	665			Petition to revive - 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1802	900	1802	900			Request for expedited examination of a design application																																																																																																																																																																																																																																																	
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Eric P. McAlpine	Registration No. (Attorney/Agent)	46,908
Signature	<i>E.P. McAlpine</i>	Telephone	(312) 222-9350
		Date	March 19, 2004

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